









## Appendix 2







## STRATEGIC RISK REGISTER – SUMMARY OF PROPOSED CHANGES






Inherent Risk – Gross risk **before** controls and mitigation







Residual Risk – Risk remaining **after** application of controls and mitigating measures

Risk	Inherent Risk	Residual Risk	Changes
<p>1. Failure to maintain effective corporate performance management and implement change management processes</p> <p><i>The position with regards to this risk is <b>unchanged</b>.</i></p>	20	<p>4</p> <p> Green</p>	No significant changes were proposed to the key controls, risk indicators and action points for this strategic risk.
<p>2. Failure to obtain adequate resources to achieve service objectives</p> <p><i>The position with regards to this risk is <b>unchanged</b>.</i></p>	20	<p>16</p> <p> Red</p>	<p>The ongoing ‘cost of living crisis’ and high inflation which is keeping this risk as one of the highest rated red risks.</p> <p>The action to seek full recovery of the agreed tram compensation claim against Nottingham City Council is ongoing. The Section 114 notice has delayed completion of this action although the City Council has provided assurances that this compensation will be received.</p>
<p>3. Failure to deliver the Housing Revenue Account (HRA) Business Plan</p> <p><i>The position with regards to this risk is <b>unchanged</b>.</i></p>	25	<p>12</p> <p> Amber</p>	<p>High inflation and the ‘cost of living crisis’ continues to have an impact upon the HRA service provision and financial position.</p> <p>No significant changes were proposed to the key controls, risk indicators and action points for this strategic risk.</p>
<p>3a. Failure to deliver a Housing Repairs and Compliance Service which meets Right to Repair and Compliance legislation</p> <p><i>The position with regards to this risk is <b>unchanged</b>.</i></p>	20	<p>12</p> <p> Amber</p>	<p>A new action was added to consider the outcome of the consultation on proposed new time limits for repairs and to begin to implement changes to meet expectations of proposed legislation.</p> <p>The action for Internal Audit to review Housing Repairs and Compliance was updated to implement the agreed management actions following the audit review of the service.</p>

Risk	Inherent Risk	Residual Risk	Changes
<p>4. Failure of strategic leisure initiatives</p> <p><i>The position with regards to this risk is <b>unchanged</b>.</i></p>	25	<p><b>20</b></p> <p> <b>Red</b></p>	<p>Following Cabinet approval, a revised action was added to complete the planning application and development of detailed design (RIBA4) for a new replacement Bramcote Leisure Centre. The design and development will be overseen by a project group, chaired by the Deputy Chief Executive, and including Members from all parties and other key officers.</p>
<p>5. Failure of Liberty Leisure (LLL) trading company</p> <p><i>Although the residual risk score does not need to change, it was considered that the position with regards to this risk had <b>worsened</b>.</i></p>	25	<p><b>12</b></p> <p> <b>Amber</b></p>	<p>The withdrawal of Liberty Leisure Limited from Kimberley Leisure Centre has increased risk to the financial viability of the company in terms of its future Business Plans.</p>
<p>6. Failure to manage the Beeston town centre development</p> <p><i>The position with regards to this risk is <b>unchanged</b>.</i></p>	25	<p><b>9</b></p> <p> <b>Amber</b></p>	<p>No significant changes were proposed to the key controls, risk indicators and action points for this strategic risk.</p> <p>Progress is being made to complete the letting of unit 4 on the phase 2 of The Square and the potential sale of the 'Argos block' to a suitable third party is ongoing.</p>
<p>7. Not complying with legislation</p> <p><i>The position with regards to this risk is <b>unchanged</b>.</i></p>	25	<p><b>6</b></p> <p> <b>Amber</b></p>	<p>A new action was added to ensure compliance with the Serious Violence Duty and to deliver the Serious Violence Response Plan;</p> <p>Another new action was added to ensure compliance with the PREVENT Duty, produce annual Counter Terrorism Local Profiles and Situational Risk Assessments and to implement Martyn's Law;</p> <p>A third new action was added to ensure compliance with the 'Covenant Duty', to have due regard to the principles of the Armed Forces Covenant when exercising statutory functions in housing, and deliver the Armed Forces Action Community Covenant Plan.</p>

Risk	Inherent Risk	Residual Risk	Changes
8. Failure of financial management and/or budgetary control and to implement agreed budget decisions  <i>The position with regards to this risk is <b>unchanged</b>.</i>	25	4  <b>Green</b>	No significant changes were proposed to the key controls, risk indicators and action points for this strategic risk.
9. Failure to maximise collection of income due to the Council  <i>The position with regards to this risk is <b>unchanged</b>.</i>	20	9  <b>Amber</b>	The action to migrate a larger proportion of Housing Rent accounts to regular rent collection by Direct Debit was updated to maintain the number of Rent accounts with regular rent collection by Direct Debit.
10. Failure of key ICT systems  <i>The position with regards to this risk is <b>unchanged</b>.</i>	25	15  <b>Red</b>	The action to review and refresh of corporate and departmental Business Continuity Plans with the latest round having commenced with the deadline set for 30 April 2024 was completed.
11. Failure to implement Private Sector Housing Strategy in accordance with Government and Council expectations  <i>The position with regards to this risk is <b>unchanged</b>.</i>	20	4  <b>Green</b>	No significant changes were proposed to the key controls, risk indicators and action points for this strategic risk.
12. Failure to engage with partners/community to implement the Broxtowe Borough Partnership Statement of Common Purpose  <i>The position with regards to this risk is <b>unchanged</b>.</i>	15	4  <b>Green</b>	No significant changes were proposed to the key controls, risk indicators and action points for this strategic risk.
13. Failure to contribute effectively to dealing with crime and disorder  <i>The position with regards to this risk is <b>unchanged</b>.</i>	15	3  <b>Green</b>	No significant changes were proposed to the key controls, risk indicators and action points for this strategic risk.

Risk	Inherent Risk	Residual Risk	Changes
<p>14. Failure to provide housing in accordance with the Local Development Framework</p> <p><i>The residual risk score has been revised after it was considered that the position with regards to this risk had improved.</i></p>	20	<p>9</p>  <p>Amber</p>	<p>The action to optimise the benefits of joining the investment partnership to access Homes England funding is now considered 'business as usual'.</p> <p><b>Following further consideration and given the current circumstances it was subsequently agreed by GMT that the residual risk score be reduced from 12 to 9 (Amber Risk).</b></p>
<p>15. Natural disaster or deliberate act, which affects major part of the Authority</p> <p><i>The position with regards to this risk is unchanged</i></p>	15	<p>12</p>  <p>Amber</p>	<p>Response and recovery plans had been thoroughly tested by storms Babet and Henk. Work is underway to review actions with emphasis on reviewing communications channels in the event of an emergency.</p> <p>Communication plans and actions was added as a key control.</p> <p>An action was added to consider any changes relating to the Local Resilience Forum legislation and to ensure that these are built into local processes.</p> <p>An action was added to recruit into the Emergency Response team following officer turnover since earlier exercises and to complete any required emergency planning training.</p>
<p>16. Failure to mitigate the impact of the Government's welfare reform agenda</p> <p><i>The position with regards to this risk is unchanged</i></p>	20	<p>4</p>  <p>Green</p>	<p>No significant changes were proposed to the key controls, risk indicators and action points for this strategic risk.</p>
<p>17. Failure to maximise opportunities and to recognise the risks in shared services arrangements</p> <p><i>The position with regards to this risk is unchanged</i></p>	20	<p>9</p>  <p>Amber</p>	<p>A new action was added to complete a review of the shared surveillance camera system arrangements and associated contract.</p>
<p>18. Corporate and/or political leadership adversely impacting upon service delivery</p> <p><i>The position with regards to this risk is unchanged</i></p>	20	<p>4</p>  <p>Green</p>	<p>A new action was added to present the new Corporate Plan 2024-2028 to Cabinet for approval and adoption on 4 June 2024.</p>

Risk	Inherent Risk	Residual Risk	Changes
19. High levels of sickness <i>The position with regards to this risk is <b>unchanged</b></i>	16	6  Amber	No significant changes were proposed to the key controls, risk indicators and action points for this strategic risk.
20. Inability to recruit and retain staff with required skills and expertise to meet increasing demands and expectations. <i>The position with regards to this risk is <b>unchanged</b></i>	20	12  Amber	The action to complete a review of Senior Officers pay in conjunction with an external partner by 31 March 2024 was updated with a new target date set at 31 July 2024.
21. Failure to comply with duty as a service provider and employer to groups such as children, the elderly, vulnerable adults etc. <i>The position with regards to this risk is <b>unchanged</b></i>	20	4  Green	No significant changes were proposed to the key controls, risk indicators and action points for this strategic risk.
22. Unauthorised access of data <i>The position with regards to this risk is <b>unchanged</b></i>	20	6  Amber	Information governance and cyber risk continues to be a key consideration within Business Continuity Plans, following recent significant cyber-attacks on local authorities.
23. High volumes of employee or client fraud <b><i>The residual risk score has been revised after it was considered that the position with regards to this risk had improved.</i></b>	20	4  Green	The Housing Tenancy Management team, in conjunction with Internal Audit, has reviewed the risk of tenancy fraud. The outcomes included improvements to procedures for completing fraud checks, which will now be actioned. <b>Following further consideration and given the current circumstances it was subsequently agreed by GMT that the residual risk score be reduced from 9 (Amber Risk) to 4 (Green Risk).</b>
24. <i>Failure to achieve commitment of being carbon neutral for the Council's own operations by 2027</i> <i>The position with regards to this risk is <b>unchanged</b></i>	20	12  Amber	The Climate Change and Green Futures Strategy was approved and adopted at Cabinet on 12 March 2024.